UTHealth | School of Public Health
The University of Texas
Health Science Center at Houston

October 24, 2023, Submitter Forum[*]

ATTENDEES	
• Lee Spangler, Executive Director	• Devin York, Senior Project Manager
• Joseph Harrison, Data Process Manager	• Jodie Nassar, Data Operations Manager

AGENDA
• Reminder of Submission Dates • Annual Registration and Request Renewals • Claims Data Security and Passwords • CLD Conditional Validations (great expectations) • Q&A

DISCUSSION TOPICS – Q&A

General

1. General comments from the Center:

The Center thanks everyone for participating in this submitter forum. The answers provided here are our best understanding of the questions submitted. As always, something may get lost in translation. If there needs to be further clarification on any question, please submit a ticket and we can start that dialogue.

Some questions may have been repeated from the last Q&A as they are still pertinent.

Notifications

As stated in the submitter forum, the TX-APCD will begin accepting monthly submissions on July 1, 2023, for March 2023 adjudicated data. Historical submissions will be accepted from August 1, 2023 – December 31, 2023, to include data from January 2019 – February 2023 (assumes you begin monthly submission in July 2023).

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[*] Some questions submitted have been edited for clarity.

Notifications may be found on our website at: https://go.uth.edu/txapcd (Texas All-Payor Claims Database – Rule Notifications)

Common Data Layout

2. Is there a timeline for a new CDL to be adopted?

We intend to use the current version of the CDL for the foreseeable future. To implement a new CDL version requires the TX-APCD to go back through the rule-making process. Also, for any future CDL release, we would give submitters plenty of lead-time to submit test files against the new CDL. The TX-APCD has issued Errata for the CDL and has posted that on the TX-APCD website at: https://go.uth.edu/txapcd – TX-APCD Payor Registration and Information tab (scroll down to middle of page under Technical Guides).

This answer is a repost from the Q&A from the April 2023 Submitter Forum Q&A.

Extensions and Exceptions

3. Based on the provided submission notification, when is the deadline to submit an extension?

According to TDI regulations, a required submitter may submit a "request for an extension to the Center before the reporting due date…" and is otherwise silent on timing, unlike exception requests. However, required submitters should note the Center is permitted at least 14 calendar days to consider an extension request (with additional time should the Center request additional information). The 14-day minimum time-period should be considered when deciding upon the timing for extension requests.

4. After testing, we find that we need to submit an exception request for some items. I see there is a form on the portal. What is the process/timing to submit that request.

*According to the rule, a payor may request a temporary exception from one or more requirements by "…submitting a request to the Center **no less than 30 calendar days before** the date the payor is otherwise required to comply with the requirement.". The Center is permitted 14 calendar days to consider the request.*

5. Does the one-year extension start from when it goes live or another date?

Extensions and exceptions are typically granted based on calendar year and expires December 31ˢᵗ in the year in which it was granted.

6. **Our test files failed because some fields didn't meet the minimum thresholds. If we file an exception, we shouldn't fail next time?**

Yes, exception requests are available for submitters who cannot meet the requirements for a particular field in the CDL. Please complete the Exception Request Form and Exception Threshold Spreadsheet. Please send both forms to txapcd@uth.tmc.edu. As a reminder, exception and extension requests require approval from the TX-APCD.

File Submission

7. **Do you have list of data quality checks outside of the File Level Checks and Field Level checks? If data quality errors occur in production, will the ticketing system be leveraged to find which data quality errors were found, or will those be sent in an email notification?**

The TX-APCD is currently working on a list of data-quality checks to provide to submitters. If quality errors occur in production, the TX-APCD will reach-out via the ticketing system and email to discuss the quality issues and potential remedies. From this discussion, a rectification plan will be agreed upon and executed.

Technical

8. **What should submitters expect for submissions that have already passed stage I validation after the resolution of the Stage 1 data validation software bug?**

The TX-APCD has modified the rule validations to perform correctly going forward. You can find a summary of the updates that were made to the rules in Appendix A of this document to work around the bug. Thus, for any future file submission, rule validations (including conditional rules) should evaluate correctly. For files that have already passed validation, the TX-APCD will review the data impacts carefully and will engage each carrier as needed as part of the evolving Stage II data quality check process. For any resubmission requests, the TX-APCD would first organize a meeting with each submitter so that we can agree on a remediation plan if one should prove necessary. This means that there is no action that submitters need to take at this time in regard to files submitted, accepted, and passed.

Miscellaneous

9. **How often will these sessions be held or is this a one-time call?**

The TX-APCD has changed the submitter forum to accommodate groups in different time zones. These meetings will be held on the 4th Tuesday of the month at 12:30 PM CT. Based on submitter feedback, we plan on going to a two-month cadence and will announce the new schedule in January 2024.

Security

10. We have a team that helps with the submissions of the files and want to have access to the portal. Do each one of them have to have their own login?

Yes! TACC's security policy is that everyone accessing the system should create an individual account. The TX-APCD is designed so that multiple accounts can be associated with a registrant. TACC's security policy also does not allow the sharing of accounts, which is a standard security best practice.

11. Can we get a copy of the slides?

Yes, the slides will be posted at https://go.uth.edu/txapcd – (Texas All-Payor Claims Database – Submitter Registration & Info).

ACTION ITEMS
• Send notification for November 2023 Submitter Forum.

APPENDIX A

file	field	rule	condition	Status
provider	provider_first_name	expect_column_values_to_be_null	col((\"entity_type_qualifier\")==1	Restated condition
provider	provider_medicaid_provider_id	expect_column_values_to_not_be_null	col(\"data_submitter_code\")==\"CHCDMDCD\"	Restated condition
provider	provider_first_name	expect_column_values_to_not_be_null	col(\"entity_type_qualifier\")==2	Restated condition
pharmacy	insured_group_or_policy_number	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
pharmacy	medicaid_aid_category	medicaid_aid_category	col(\"data_submitter_code\")==\"CHCDMDCD\"	Restated condition
pharmacy	subscriber_first_name	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
pharmacy	subscriber_last_name	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
pharmacy	sequence_number	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
pharmacy	individual_relationship_code	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
pharmacy	compound_drug_name_or_compound_drug_ingredient_list	expect_column_values_to_not_be_null	col(\"compound_drug_indicator\")==\"Y\"	Restated condition
dental	medicaid_aid_category	expect_column_values_to_not_be_null	col(\"data_submitter_code\")==\"CHCDMDCD\"	Restated condition
dental	subscriber_last_name	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
dental	subscriber_first_name	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
dental	individual_relationship_code	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
dental	rendering_provider_first_name	expect_column_values_to_not_be_null	col(\"rendering_provider_entity_type_qualifier\")==1	Restated condition
dental	carrier_specific_unique_member_id	expect_column_values_to_not_be_null	data_submitter_code\ NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
eligibility	insured_group_or_policy_number	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
eligibility	coverage_level_code	expect_column_values_to_be_in_set	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Rule
eligibility	medicaid_aid_category	expect_column_values_to_not_be_null	col(\"data_submitter_code\")==\"CHCDMDCD\"	Restated condition
eligibility	medicaid_aid_category	expect_column_values_to_be_null	col(\"data_submitter_code\")!=\"CHCDMDCD\"	Removed Condition
eligibility	plan_specific_contract_number	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCR\")	Removed Condition
eligibility	sequence_number	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
eligibility	individual_relationship_code	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
eligibility	primary_insurance_indicator	expect_column_values_to_be_in_set	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
eligibility	primary_insurance_indicator	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
eligibility	coverage_type	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
eligibility	carrier_specific_unique_member_id	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
eligibility	carrier_specific_unique_subscriber_id	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
eligibility	naic_id	expect_column_values_to_match_regex	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Rule
eligibility	high_deductible_plan_indicator	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition

medical	member_insurance_product_category_code	expect_column_values_to_not_be_null	\"type_of_claim\" == 2	Restated condition
medical	insured_group_or_policy_number	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
medical	medicaid_aid_category	expect_column_values_to_not_be_null	\"data_submitter_code\" == \"CHCDMDCD\"	Restated condition
medical	individual_relationship_code	expect_column_values_to_not_be_null	\"data_submitter_code\" NOT IN (\"CHCDMDCD\",\"CHCDMDCR\")	Removed Condition
medical	admission_date	expect_column_values_to_be_null	\"type_of_claim\" != 2	Restated condition
medical	admission_hour	expect_column_values_to_be_null	\"type_of_claim\" != 2	Removed Rule
medical	admission_type	expect_column_values_to_be_null	\"type_of_claim\" != 2	Restated condition
medical	point_of_origin	expect_column_values_to_be_null	\"type_of_claim\" != 2	Removed Rule
medical	discharge_date	expect_column_values_to_be_null	\"type_of_claim\" != 2	Restated condition
medical	discharge_date	expect_column_values_to_not_be_null	\"type_of_claim\" == 2	Restated condition
medical	discharge_date	expect_column_values_to_be_null	\"admission_date\" is null	Removed Rule
medical	discharge_status	expect_column_values_to_not_be_null	\"type_of_claim\" == 2	Restated condition
medical	discharge_status	expect_column_values_to_be_null	\"type_of_claim\" != 2	Removed Rule
medical	type_of_bill_institutional	expect_column_values_to_not_be_null	\"type_of_claim\" == 2	Restated condition
medical	type_of_bill_institutional	expect_column_values_to_be_null	\"type_of_claim\" != 2	Restated condition
medical	place_of_service_professional	expect_column_values_to_not_be_null	\"type_of_claim\" == 1	Restated condition
medical	admitting_diagnosis	expect_column_values_to_be_null	\"type_of_claim\" != 2	Removed Rule
medical	first_external_cause_code	expect_column_values_to_be_null	\"type_of_claim\" != 2	Removed Rule
medical	revenue_code	expect_column_values_to_not_be_null	\"type_of_claim\" == 2	Restated condition
medical	revenue_code	expect_column_values_to_be_null	\"type_of_claim\" != 2	Restated condition
medical	procedure_code	expect_column_values_to_not_be_null	\"type_of_claim\" == 1	Restated condition
medical	procedure_code	expect_column_values_to_not_be_null	\"type_of_claim\" == 2	Restated condition
medical	icd_cm_pcs_principal_procedure_code	expect_column_values_to_be_null	\"type_of_claim\" != 2	Restated condition
medical	charge_amount	expect_column_values_to_not_be_null	\"payment_arrangement_type_flag\" NOT IN (\"01\",\"1\")	Restated condition
medical	plan_paid_amount	expect_column_values_to_not_be_null	\"payment_arrangement_type_flag\" NOT IN (\"01\",\"1\")	Restated condition
medical	co_pay_amount	expect_column_values_to_not_be_null	\"payment_arrangement_type_flag\" NOT IN (\"01\",\"1\")	Restated condition
medical	coinsurance_amount	expect_column_values_to_not_be_null	\"payment_arrangement_type_flag\" NOT IN (\"01\",\"1\")	Restated condition
medical	deductible_amount	expect_column_values_to_not_be_null	\"payment_arrangement_type_flag\" NOT IN (\"01\",\"1\")	Restated condition
medical	allowed_amount	expect_column_values_to_not_be_null	\"payment_arrangement_type_flag\" != 1	Removed Rule
medical	allowed_amount	expect_column_values_to_not_be_null	\"payment_arrangement_type_flag\" NOT IN (\"01\",\"1\")	Restated condition
medical	rendering_provider_first_name	expect_column_values_to_not_be_null	\"rendering_provider_entity_type_qualifier\" == 1	Restated condition
medical	rendering_provider_first_name	expect_column_values_to_be_null	\"rendering_provider_entity_type_qualifier\" == 2	Restated condition
medical	rendering_provider_middle_name	expect_column_values_to_be_null	\"rendering_provider_entity_type_qualifier\" == 2	Restated condition
medical	referring_provider_id	expect_column_values_to_be_null	\"type_of_claim\" != 2	Removed Rule

medical	referring_provider_npi	expect_column_values_to_be_null	\"type_of_claim\" != 2	Removed Rule
medical	attending_provider_id	expect_column_values_to_be_null	\"type_of_claim\" != 2	Removed Rule
medical	attending_provider_npi	expect_column_values_to_be_null	\"type_of_claim\" != 2	Removed Rule